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Brian D. Bullard

Senior executive with broad, unique experience in global operations, regulatory, governance, compliance and finance from leadership roles in leading organizations. Extensive expertise in Board relationships and management, governance and oversight of service providers, banking relationships, technology operations and initiatives, accounting and finance operations, valuation, counterparty risk, business continuity, regulatory structure and control functions.

Professional Experience

CLAREMONT MCKENNA COLLEGE

July 1, 2023 to Present

Visiting Lecturer of Economics (Accounting)

THE CAPITAL GROUP COMPANIES, INC. (American Funds)

December 2006 – January 2023 (Retired)

Senior Vice President, Investment Operations

- Member of the Operating Committees for Investment Operations, Fixed Income Investment Group, Equity Investment Group and Solutions (Multi-Asset) Investment Group.
- Within Investment Operations
 - Head of Core Operations – Trade Processing and Settlement, Valuation, Collateral Management, Fund Accounting, Tax, Financial and Regulatory Reporting, Custodian Oversight, Auditor Oversight
 - Head of Fixed Income Investment Control – Portfolio Construction, Trade Authorization, Pre and Post Trade Compliance
 - Head of Global Results – Fund/Account Results, Manager Results, Attribution
- Capital Group liaison with American Funds Audit Committees
- Treasurer – Served as treasurer of many of the American Funds during various periods and responsible for oversight and leadership of the treasurer team.
- Served on many of the firms most important internal committees including, valuation committee, multiple risk oversight committees (chair), counterparty risk management committee, liquidity committee, bank oversight committee (chair), technology infrastructure oversight, investment policy group, fee management group, global business continuity steering committee
- Led partner sourcing program, technology replacement projects, consolidation and integration of operational teams, custodian and auditor relationships.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

April 1999 – December 2006

Chief Accountant, Division of Investment Management

April 2001-December 2006

Assistant Chief Accountant, Division of Investment Management

April 1999 – April 2001

- Responsible for all accounting and auditor policies, including independence, for investment advisers, investment companies, unit investment trusts and public utilities holding companies.
- Primary interface with standard setters (FASB, AICPA) on related topics.
- Consultant to the Division of Enforcement and Division of Examinations on matters involving investment advisers, mutual funds, unit investment trusts and public utility holding companies and their auditors.
- Responsible for developing regulation relative to registrants and their service providers.
- Andrew Barr award winner for outstanding accounting contributions to the SEC, 2004.

PRICEWATERHOUSECOOPERS LLP (formerly Coopers & Lybrand)

September 1991 – April 1999

Manager, Financial Services, Construction and Higher Education Practices

Kansas City, May 1997-April 1999

Los Angeles, September 1991-May 1997

- Responsible for successful completion of audits, meeting client deadlines, research of technical issues, recommendations to management, review of financial statements and communication of results to partners.
- Responsible for staff development, billings, collections and profitability on engagements.
- Representative client list included: American Century Funds, PIMCO, Western Asset, Investment Company Institute, Kansas City Federal Reserve, University of Southern California, The California State University System, Tutor-Saliba Corporation

Board Experience

FOCUS North America

Member of the Board, October 2014-Present

Chairman of the Board, October 2019-Present

- Nationwide organization providing food, occupation, clothing, understanding, shelter and other assistance to address needs in Cleveland, Detroit, St. Louis, Pittsburgh, Minneapolis and the Greater Southern California area

Education and Other Experiences

Claremont McKenna College, Bachelor of Arts, Economics/Accounting 1991

Executive Education:

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- **Northwestern University Kellogg School of Management**, Capital Group Leadership Immersion, May 2015
 - **University of Pennsylvania, The Wharton School**, Capital Group Leadership Experience, 2019

Certified Public Accountant, State of California (Inactive)

Past Member of the Investment Company Institute Accounting/Treasurers Committee

Speaking Engagements – frequent speaker at industry conferences for the Investment Company Institute and NICSA as well as presentations to standard setters FASB, AICPA, PCAOB.