

## **EXPERIENCE**

### **Claremont McKenna College**

**Claremont, CA**

*Professor of the Practice of Accounting*

*Fall 2026 - present*

*Visiting Professor*

*Fall 2015; Spring 2016; Spring 2019, Spring 2020, Spring 2021, Fall 2021, Spring 2022*

- Teach courses in Accounting for Decision-Making (Econ 86), Financial Statement Analysis (Econ 154), and Business Law (Econ 191), with a particular emphasis on practical applications.
- Began as an adjunct professor and was subsequently hired as a Visiting Professor in 2021-2022. Returned to CMC in Fall 2026 as a professor of practice.
- Faculty Career Mentor: each year the Soll Center for Student Opportunity invites graduating seniors to nominate a faculty career mentor who has made a significant impact on their career, professional development, or graduate school goals. Selected in 2021, 2022, and 2023 (I did not teach at CMC in 2023, so cited a year after leaving).

### **California Institute of Technology (Caltech)**

**Pasadena, CA**

*Lecturer in Economics*

*Fall 2020, 2021, 2022, 2023, 2024; Winter 2024, 2025; Spring 2023, 2025*

- Taught Introduction to Accounting (BEM 102), an introductory accounting course with an overlay of financial statement analysis designed to help derive insights into fact-based corporate decision-making.
- Taught Law and Finance for Start-Ups (BEM 113), combining elements of business, economics, strategy, and law to provide students interested in entrepreneurship with a practical understanding of growing a 'post-idea' company.
- Taught Business Law (BEM 115), an introduction to the U.S. legal system covering Constitutional law, dispute resolution, contracts, employment law, business associations, securities regulation, and intellectual property.
- Recipient of the Humanities & Social Sciences (HSS) departmental award for excellence in teaching; 1 of 2 awardees in 2023-24 academic year.

### **The Circinus Group**

**Pasadena, CA**

*Partner*

*Feb 2002 – Sept 2007; October 2017 - present*

- Co-author of *The Practitioner's Guide to Investment Banking, Mergers & Acquisitions, Corporate Finance* (2007), which has been used as a text at colleges and universities including Arizona State, DePaul, Georgetown, Harvard Extension, Northwestern Kellogg, Penn State, Rutgers, Texas A&M, and University of Wisconsin, as well as for training programs including Credit Suisse, J.P. Morgan, Macquarie Securities, McKinsey & Co., and Point72.
- Co-author of *The Recruiting Guide to Investment Banking* (2006).
- Conducted corporate finance and M&A training sessions for investment banking and asset management firms.

### **Poplar Forest Capital**

**Pasadena, CA**

*Equity Analyst and Partner*

*Sept 2007 - Sept 2017*

- 1 of 5 analysts for the deep value, long-only Poplar Forest Partners equity mutual fund (IPFPX), which had a Bronze rating from Morningstar and 4 stars.
- Member of the Investment Committee as well as the Firm Valuation Committee.
- Joined the firm at inception and helped it grow from 3 employees and AUM of \$42mm to 13 employees and AUM of approximately \$1.5 billion.
- Primary coverage for a variety of investments in the fund over the years across sectors. Investments have been in Consumer, Metals & Mining, Media, Real Estate, and Engineering & Construction. Supported all equity strategies at the firm with recommendations.
  - Responsible for the creation of models, investment memos, due diligence, ongoing company reports and updates, and overall assessment of the investment from initial purchase to exit.
- Chief Compliance Officer (Oct 2007 - Feb 2011)
  - Participated in preparation for an SEC exam in Fall 2010, the examination, and associated follow-up.
- Led MBA and Permanent-Hire Recruiting (October 2014 - September 2017).

### **J.P. Morgan & Co.**

**New York, NY**

*Vice President, Mergers & Acquisitions Group*

*Jun 1999 - Feb 2002*

- Served as the primary client contact and lead execution banker on domestic and international mergers, acquisitions, divestitures, joint ventures, and restructurings, primarily in the Consumer sector.
- Selected to the Global Leadership Council, a group of 11 Vice Presidents from JPMorgan's M&A Group worldwide. Personal responsibilities included serving as Program Coordinator for the Continuing Education program for M&A North America, initiating an Apprenticeship Program for first-year Analysts and Associates globally, and creating a Mentorship Program for M&A North America.

**Lehman Brothers***Associate, Mergers & Acquisitions Group*

- Executed buy-side and sell-side M&A transactions in a variety of industries including manufacturing, consumer products, food processing, and financial services.

**New York, NY***Jul 1996 - Jun 1999***Bank of America Securities***Associate, Mergers & Acquisitions Group*

- Prepared company, industry and valuation analyses for various M&A assignments.

**Los Angeles, CA***Sep 1994 - Jun 1996***Patriot Wealth Management (now part of Mariner Wealth Advisors)**

- Developed financial planning strategies and provided advisory work for clients.

**Houston, TX***Summer 1993***Grupo Industrial ALFA (Empaques de Cartón Titán)**

- Prepared a strategic plan to increase Titán's share of maquiladora market for cardboard boxes.

**Monterrey, Mexico***Jun - Dec 1992***Hon. John G. Davies, U.S. District Court, Central District of California**

- Summer clerk; worked on various legal matters under the guidance of full-time clerks.

**Los Angeles, CA***Jun - Jul 1991***Office of Ronald Reagan**

- Drafted letters and missives for President Reagan under supervision of the head speechwriter.

**Los Angeles, CA***Jun - Dec 1989***EDUCATION****UCLA Anderson School of Management**

MBA in Finance; Field Study: Feasibility study of a high-end resort in Mazatlán, Mexico

Semester abroad at Instituto Tecnológico y de Estudios Superiores de Monterrey (ITESM)

**Los Angeles, CA***Jun 1994**Fall 1992***UCLA School of Law**

JD; L.A. County Bar Association Pro Bono Certificate; Moot Court; Women's Law Journal; Street Law

*May 1994***Universidad de Salamanca**

Courses in Economics of the European Union, Spanish Literature, and Spanish Civil War History

**Salamanca, Spain***Jan - Jun 1990***College of the Holy Cross**BA in History, *Cum Laude*; Phi Beta Kappa; Phi Alpha Theta (International History Honor Society);

Alpha Sigma Nu (National Jesuit Honor Society); Varsity Swimming: set 7 school records; Varsity Tennis

**Worcester, MA***May 1989***BOARD SERVICE****Foothill Family (social service organization)**

Board of Directors. Committees: Executive, Board Affairs, Finance; Search for new CEO

Advisory Council

In November 2018, presented with the inaugural "Tip Your Cap and Pass the Hat" award for long-standing support of the agency's work in the community

**Pasadena, CA***2009 - 2013**2013 - present***Pasadena Community Foundation**

Board of Directors. Committees: Finance, Nominating; Search for a new investment manager. Chair of Nominating &amp; Governance Committee (2017-2020)

Advisory Council

**Pasadena, CA***2012 - 2020**2020 - present***Pasadena Public Library Foundation**

Board of Directors; Co-chair of the board (2016-present)

**Pasadena, CA***2009 - present***Saint Andrew Catholic Church**

Finance Council

In May 2019, included in Catholic Charities of San Gabriel Valley's 35th Annual Volunteer Recognition Dinner for work done in support of St. Andrew Catholic Church in Pasadena

**Pasadena, CA***2009 - present***Society of the Friendly Sons of St. Patrick (Irish fraternal organization)**

Board of Directors. Seating committee (Chair 2018-2020); President (2022)

**Los Angeles, CA***2005-present***Valley Hunt Club**

Membership Committee (2010-2015; Chair 2013-14 and 2014-15); Board of Directors (2017-18; 2018-19)

**Pasadena, CA****ADDITIONAL**

Fluent Spanish. Traveled to over 25 countries. Lived in Australia, Spain, Mexico, and Argentina. Member of the California Bar. AYSO youth soccer coach (Fall 2018) and referee (Fall 2019). School room parent for our sons.